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| Please type a plus  | s sign (+) inside this box                         | + + · · · · · · · · · · · · · · · · · · | Appro U.S. Patent and Traden                            | P<br>ved for use through 10/31/2002.<br>nark Office: U.S. DEPARTMENT<br>ion unless it displays a valid OM | TO/SB/21 (08-00)<br>OMB 0651-0031<br>F OF COMMERCE<br>IB control number. |              |
| Onder die Paperwork Keducik                                   | SIT ACT OF 1999, He persons a                      |   | Application Number                                      | 09/896,186  |  | \            |
| TRANSMITTAL   |  |   | Filing Date   | June 29, 2001   | RE(  | PEIVE        |
| FORM (to be used for all correspondence after initial filing) |  | First Named Inventor                    | Levin   | JA  | 1 2 8 2002   |              |
|   |  | Group Art Unit                          | 1645  | TECH CE   | NTER 1600/2  |              |
|   |  |   | Examiner Name   | Not Yet Assign  |  | 11211 10007. |
| Total Number of Pages in This Submission 4                    |  |   | Attorney Docket Number                                  | PB/5-31481A   |  | )            |
| ENCLOSURES (check all that apply)                             |  |   |   |   |  | ]            |
|   |  |   | nent Papers<br>Application)                             | After Allowance Com   | nmunication  |              |
| Fee Attached Drawing  |  | ,,                                      | Appeal Communication of Appeals and Interference        |   |  |              |
| Amendment/Reply Licensin (Second Preliminary)                 |  | ng-related Papers                       | Appeal Communicati                                      | ion to Group  |  |              |
| (Second Preliminary) Petit                                    |  | Petition                                | \ <b>\</b>  |   |  |              |
| I [ ]   |  |   | to Convert to a<br>nal Application                      | Status Letter   |  |              |
| Extension of Time Request                                     |  | Power of Change Address                 | of Attorney, Revocation of Correspondence               | Other Enclosure(s)  | (please  |              |
| Extension of filling request                                  |  |   | ıl Disclaimer   | identify below):  |  |              |
| Express Abandonment Request                                   |  | Reques                                  | t for Refund  |   |  |              |
| Information Disclosure Statement                              |  | CD, Nu                                  | mber of CD(s)   |   |  |              |
| Certified Copy of Priority Document(s)                        |  | Remarks                                 | 1   |   |  | 1            |
| Response to Missing Parts/ Incomplete Application             |  |   | J   |   |  |              |
| Response to Missing Parts                                     |  |   |   |   |  |              |
| under 37 CFR 1.52 or 1.53                                     |  |   |   | ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠ ٠   |  | 1            |
|   | SIGNATUR   | E OF APPLI                              | CANT, ATTORNEY, OR                                      | AGENT   |  | ]            |
| Firm or Individual name                                       | Syngenta Biotechnology, Inc.                       |   |   |   |  |              |
| Signature   | Many Kahefuda                                      |   |   |   |  |              |
| Date 10/22/01   |  |   |   |   |  |              |
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| I hereby certify that this cor<br>mail in an envelope addres  | respondence is being de<br>sed to: Commissioner fo | eposited with the Patents, Wa           | he United States Postal Servishington, DC 20231 on this | rice with sufficient postage a<br>date: 10/22/200   | is first class   |              |
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October 22, 2001

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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IN RE APPLICATION OF

LEVIN ET AL.

**APPLICATION NO.: 09/896,186** 

FILED: June 29, 2001

FOR: Methods of Controlling Gene Expression

and Gene Silencing

ART UNIT: 1645

**CONFIRMATION NO.: 9567** 

DOCKET: PB/5-31481A

JAN 2 8 2002

**TECH CENTER 1600/290** 

## SECOND PRELIMINARY AMENDMENT UNDER 37 C.F.R. §1.111

Box: Non-Fee Amendment Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to examination of the above-identified patent application, please enter the following amendments and consider the remarks below.

## IN THE SPECIFICATION:

Please amend the specification to read as follows:

On page 52, Example 5:

The line 8Z-2 (see Example 4 above) is crossed with the line S11.13-34 (see Example 2 above) and the resultant F1 generation plants are allowed to self-fertilize to obtain the F2 generation. Approximately 60 F2 plants are grown and tested for a presence of T-DNA insertion in the RDRD gene derived from the S11.13-34 parental line and for the 35S-GFP T-DNA derived from the 8Z-2 parental line. The presence of the T-DNA insertion in the RDRD gene is demonstrated as described in Example 2. Plants carrying this T-DNA insertion are then checked for homozygosity by PCR using the 3' specific primer (SEQ ID NO:19) and 36851TD#3 (5'-gct ccg ccc aca taa ttc aaa caa cac-3', SEQ ID NO:29). These primers span a region of genomic DNA including the insertion site such that only the wild-type copy of DNA results in